



international
bitcoin exchange

**COMPLIANCE POLICY
INTEBIX LTD.**

(<https://intebix.kz/>)

Date: 19.03.2025

A handwritten signature in black ink, appearing to read 'Dossanov'.

Approved:

CEO Talgat Dossanov

1. Intebix Ltd. (Intebix Exchange) observes all compliance control standards in the company in accordance with the legislation of the Republic of Kazakhstan, Astana's Financial International Center rules and international requirements.
2. The objectives of compliance are to protect the interests of the company, investors, customers, employees by complying with applicable laws, rules and standards aimed, among other things, at preventing corruption and fraud.
3. Control in ensuring fairness, openness in hiring, procurement in the corporate sector, identifying conflicts of interest, checking the reliability of partners is an integral part of minimizing compliance risks in the company.
4. Intebix Ltd. (Intebix Exchange) employs a responsible officer for compliance risks (Compliance Controller), who monitors the company's activities in terms of compliance by its employees and senior management with all legal requirements, AIFC rules and internal documents.
5. The compliance controller develops internal rules and procedures for the implementation of control and approves them by submitting them to top management.

The Compliance Controller performs the following main functions in the Company:

- 1) formation of a compliance policy;
- 2) distribution of responsibility;
- 3) compliance risk assessment;
- 4) creation of risk management mechanisms;
- 5) enforcement of measures taken;
- 6) implementation of compliance control;
- 7) assessment effectiveness of compliance control;
- 8) financial monitoring.

6. The goals of compliance control are:

- 1) implementation of a monitoring and control system for compliance with the legislation of the Republic of Kazakhstan in the policy of corporate risk management;
- 2) observance of the principles of competitiveness, good faith, legality, observance of legal rights in the implementation of their activities;

- 3) early identification of compliance risks associated with a possible violation of the law;
- 4) active and prompt response to possible violations of the law;
- 5) prevention of possible catastrophic losses due to regulatory legal inconsistency;
- 6) creation of competitive advantages in their activities;
- 7) risk management of violation of the law;
- 8) creation of a system of internal response, monitoring and control over compliance with the law and internal documents by employees;
- 9) ensuring that employees are aware of the requirements of legislation and internal documents, the current system of compliance control and liability for violations;
- 10) implementation of financial monitoring in terms of control and identification of threshold and suspicious transactions, and sending information about them to the authorized body;
- 11) other goals and objectives aimed at compliance with the legislation of the Republic of Kazakhstan, AIFC rules and internal documents.

7. Principles of compliance control:

- 1) the interest of management in the efficiency of the compliance control system, as well as the involvement of employees in the implementation of the provisions of compliance control;
- 2) regularity of assessment of risks of violation of legislation and internal rules;
- 3) continuity of functioning of compliance control, as well as continuous improvement and increase of the effectiveness of the compliance control system.
- 4) providing the ability to respond to risks in real time;
- 5) advanced training and hiring specialists to promote the compliance policy;
- 6) cooperation and synchronization of efforts to develop a consolidated view of risks;
- 7) interest in the effectiveness functioning of compliance control;
- 8) commitment to the designated goals and objectives of compliance control;
- 9) ensuring information transparency functioning of compliance control.

8. This policy has been developed in accordance with the legislation of the Republic of Kazakhstan, the rules of the Astana International Financial Center and international requirements.

If compliance risks are identified by customers or employees of the company, you can contact us by e-mail: support@intebix.com, we will respond to you within 15 working days.

